



## ANNUAL PRIVACY POLICY NOTICE

Henssler Financial (“HF”) shall mean and refer to any and all subsidiaries, parent or sister corporations, limited liability companies, partnerships or other entities or entity controlling, controlled by or under common control with said corporations or entities, including, but not limited to, G.W. Henssler & Associates, Ltd., Henssler Asset Management, LLC, Henssler CPAs & Advisers, LLC and Henssler Norton Insurance, all d/b/a “Henssler Financial.” HF is not a financial adviser.

The Securities and Exchange Commission adopted Regulation S-P, privacy rules promulgated under section 504 of the Gramm-Leach-Bliley Act. Section 504 requires the Commission and other federal agencies to adopt rules implementing notice requirements and restrictions on a financial institution’s ability to disclose nonpublic personal information about consumers. The Federal Trade Commission has issued regulations applying similar requirements to CPAs and tax planning professionals.

Accordingly, HF must provide its customers with a notice of its privacy policies and practices, and must not disclose nonpublic personal information about a consumer to nonaffiliated third parties, unless the institution provides certain information to the consumer and the consumer has not elected to opt out of the disclosure.

This notice, in summary form, is intended to tell you where we obtain information about you and who has access to it once it is received by HF.

### Where we get information

Most of the information we collect about you comes directly from you; for example, investment management agreements, tax information or forms that you complete in order to receive our services. In addition, we maintain records of each of your transactions and holdings at HF.

### How that information is shared with third parties

We do not sell information about our clients. We provide information about current or former clients from the sources described above to parties outside HF only as follows:

- As necessary to process your business. For example, we transmit account and transactional information to your custodian. Additionally, if HF provides tax and accounting services, information may be shared with relevant third-party service providers or contractors. Third parties in this category are prohibited by agreement from using information about you, except for the narrow purpose for which we gave it to them.
- Where required or permitted by law or regulation. Examples include responses to a subpoena, court order or regulatory demand.
- As authorized by you. You may direct us, for example, to send your account statements and confirms to a third party.

*Continued on Reverse...*



*If you have other questions about how we respect your financial privacy or how you may opt out of these disclosures, please contact Henssler Financial.*



## Confidentiality and security

We consider all information we have about you to be confidential, including the fact that you are a HF client (unless you tell us otherwise). Your information is only to be handled in the manner described in this notice. Within HF, we restrict access to information about you to those employees and authorized agents who need to know that information in order to provide products or services to you. We maintain physical, electronic and procedural safeguards to maintain the confidentiality of your information. These safeguards include a secured and access-card-controlled workplace, network password protections, encrypted data and access limits for employees and affiliates.

Additionally, Regulation S-AM, adopted by the SEC pursuant to the Fair Credit Reporting Act and the Fair and Accurate Credit Transactions Act of 2003, provides you the right to limit some but not all marketing from HF and its affiliates. We are required to give you this notice to tell you about your choice to limit marketing from HF and its affiliates.

You may limit HF and its affiliates from marketing their products or services to you based on the personal information an affiliate receives from HF. This information may include your income, account history, credit information, or other personal and confidential information obtained by HF through providing you with products or services.

Your choice to limit marketing offers from HF and its affiliates applies until you tell us to change your choice. You may have other questions about how we respect your financial privacy, or how you may opt out of the above privacy disclosures or to limit HF's marketing offers. If so, please feel free to contact HF for additional information or assistance: By telephone at 770-429-9166; On the web at [www.henssler.com](http://www.henssler.com); By returning this form via mail; or By directly contacting your Associate.

Do not allow any Henssler affiliate to use my personal information to market to me.